



Game Theory and Security

MILIND TAMBE



AI & Multiagent Systems Research for Social Impact



Public Health



Conservation



**Public Safety
and Security**

Key Research Challenge

Optimize Our Limited Intervention Resources

Outline



Public Safety and Security:
Stackelberg Security Games

Conservation/Wildlife Protection:
Green Security Games

9/11/2022



Date: 10/13/2022 (from ny1.com)

Around the World (2002-2005)

Date:
10/13/2022



11 July 2006: Mumbai

TRAIN OF TERROR

Mumbai continues to be the prime target for terrorist groups. It has borne the brunt of seven attacks in the past 13 years.



Explosive used
High-quality explosive.
Most likely RDX
(Cyclotrimethylenetrinitramine)



Quantity of explosive
At least 5 kg per blast;
possibly packed into
bags or tiffin boxes



Why attack the first class compartments?
It is easier to enter a first class compartment at peak hour than a second class with a bag filled with up to 5 kg of explosives



Where were bombs placed?
In the luggage racks where commuters keep their bags and tiffin boxes



How many bombers were there?
At least 20, 2 for each blast and a logistics base of 6 people

WARNING

JAN 6, 2006:
seized from three youths in Mumbai

JAN 30, 2006:
powder and 2 rifles from 2 people at

MAY 9, 2006:
2,000 live cartridges, AK-56s seized in

MAY 12, 2006:
live cartridges and grenades seized

MAY 14, 2006:
three AK-47s and cartridges seized

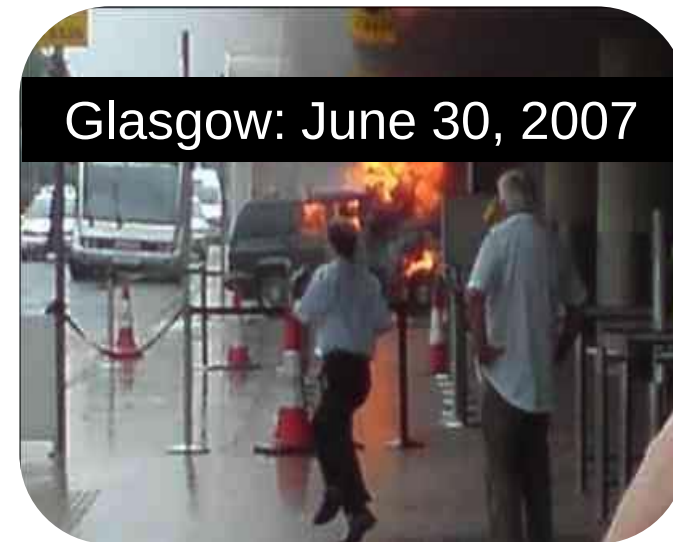


ARMOR Airport Security: LAX(2007)

Erroll Southers



LAX Airport, Los Angeles



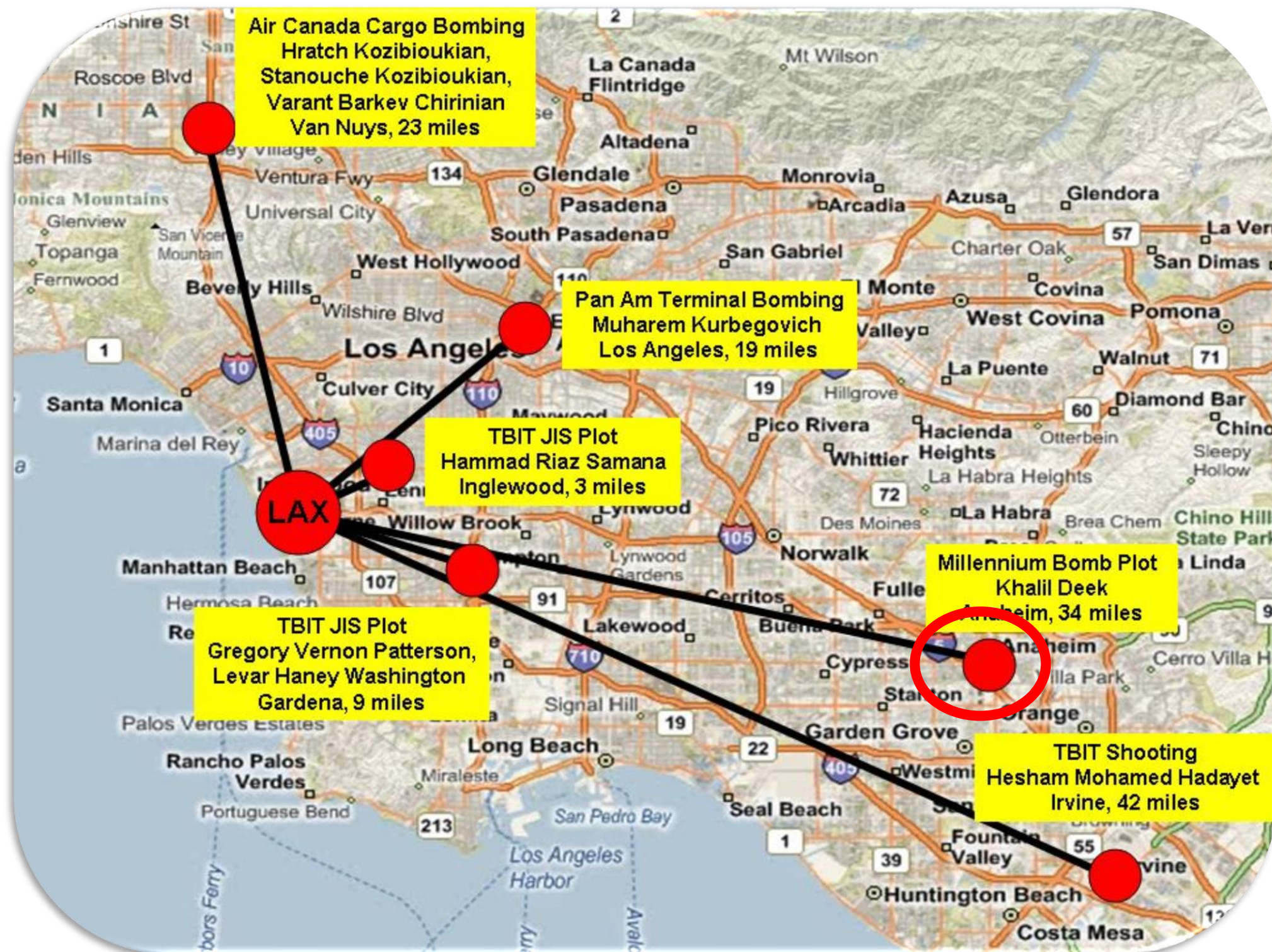
LAX Airport Case: Optimize Limited Security Resources

Eight Inbound Roads, Eight Terminals: Limited Staff, Canines

How to optimize limited security resources?



Background on LAX Airport Threats: Surveillance Opportunity



Game Theory for Security Resource Optimization

New Model: Stackelberg Security Games, key aspects for tractability

Set of targets, payoffs based on targets covered or not

Stackelberg Leader-Follower formulation



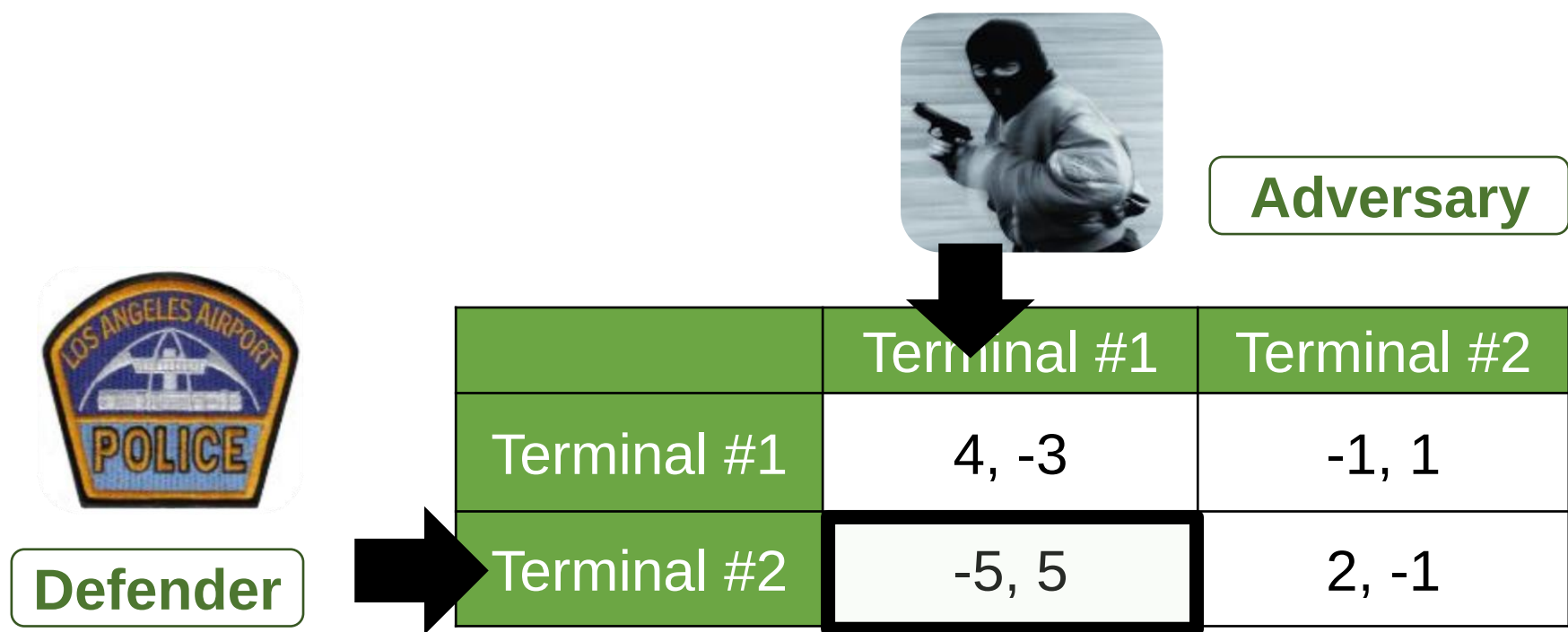
Defender

Adversary

	Terminal #1	Terminal #2
Terminal #1	4, -3	-1, 1
Terminal #2	-5, 5	2, -1

Game Theory for Security Resource Optimization

New Model: Stackelberg Security Games



Model: Stackelberg Security Games

Can we use Stackelberg Security Games for security resource optimization?

Stackelberg: Defender commits to randomized strategy, adversary responds

Security optimization: Not 100% security; increase cost/uncertainty to attackers

Challenges faced: Massive scale games



Defender



Adversary

	Terminal #1	Terminal #2
Terminal #1	4, -3	-1, 1
Terminal #2	-5, 5	2, -1

ARMOR at LAX

Basic Security Game Operation [2007]



Kiekintveld



Pita



	Target #1	Target #2	Target #3
Defender #1	2, -1	-3, 4	-3, 4
Defender #2	-3, 3	3, -2	
Defender #3			



Mixed Integer Program



Pr (Canine patrol, 8 AM @Terminals 2,5,6) = 0.17

Canine Team Schedule, July 28

	Term 1	Term 2	Term 3	Term 4	Term 5	Term 6	Term 7	Term 8
8 AM		Team1			Team3	Team5		
9 AM			Team1	Team2				Team4
...	...	...	...	...	...	...	...	...

OK IF YOU DO NOT FOLLOW THIS SLIDE

Mixed Integer Program [2007]



Kiekintveld



Pita



$j \longrightarrow$

$i \downarrow$	Target #1	Target #2	Target #3
Defender #1	2, -1	-3, 4	-3, 4
Defender #2	-3, 3	3, -2	
Defender #3			

$$\max \sum_{i \in X} \sum_{j \in Q} R_{ij} \times x_i \times q_j$$

Maximize defender expected utility

$$s.t. \sum_i x_i = 1$$

Defender mixed strategy

$$\sum_{j \in Q} q_j = 1$$

Adversary response

$$0 \leq (a - \sum_{i \in X} C_{ij} x_i) \leq (1 - q_j) M$$

Adversary best response

We are trying to
Find x_i

SECURITY GAME PAYOFFS [2007]

Previous Research Provides Payoffs in Security Games



	Target #1	Target #2	Target #3
Defender #1	2, -1	-3, 4	-3, 4
Defender #2	-3, 3	3, -2	
Defender #3			

+ Handling
Uncertainty

$$\max \sum_{i \in X} \sum_{j \in Q} R_{ij} \times x_i \times q_j$$

Maximize defender
expected utility



ARMOR: Optimizing Security Resource Allocation [2007]

First application: Computational game theory for operational security



January 2009

- January 3rd *Loaded 9/mm pistol*
- January 9th *16-handguns,
1000 rounds of ammo*
- January 10th *Two unloaded shotguns*
- January 12th *Loaded 22/cal rifle*
- January 17th *Loaded 9/mm pistol*
- January 22nd *Unloaded 9/mm pistol*

ARMOR AIRPORT SECURITY: LAX [2008]

Congressional Subcommittee Hearings



Commendations
City of Los Angeles



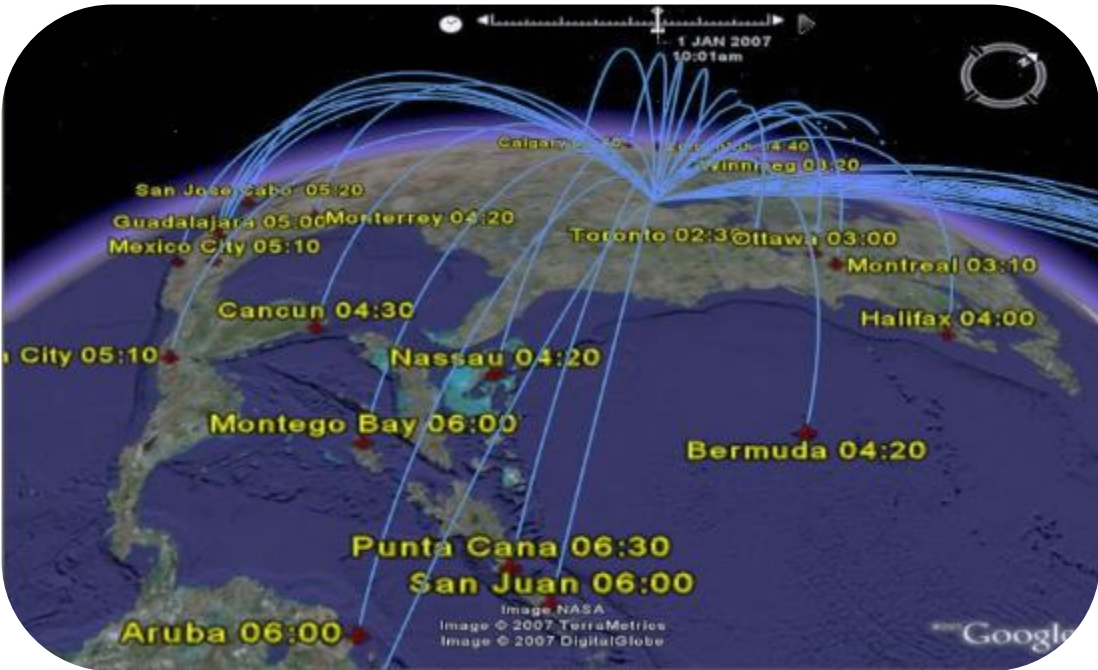
Erroll Southern testimony
Congressional subcommittee



ARMOR...throws a digital cloak of invisibility....

Federal Air Marshals Service [2009]

Visiting Freedom Center: Home of Federal Air Marshals Service



	Strategy 1	Strategy 2	Strategy 3	Strategy 4
Strategy 1	IRIS 1000 flights/day Actions: $\sim 10^{41}$			
Strategy 2				
Strategy 3				
Strategy 4				

Scale Up Difficulty [2009]



Kiekintveld



Jain

x_i Defender mixed strategy

1000 flights, 20 air marshals:

10^{41} combinations

$$\max_{x,q} \sum_{i \in X} \sum_{j \in Q} R_{ij} x_i q_j$$

$$s.t. \sum_i x_i = 1, \sum_{j \in Q} q_j = 1$$

$$0 \leq (a - \sum_{i \in X} C_{ij} x_i) \leq (1 - q_j) M$$

	Attack 1	Attack 2	Attack ...	Attack 1000
1, 2, 3 ..	5,-10	4,-8	...	-20,9
1, 2, 4 ..	5,-10	4,-8	...	-20,9
1, 3, 5 ..	5,-10	-9,5	...	-20,9
...				
...	← 10^{41} rows			

Scale Up [2009]

Exploiting Small Support Size



Kiekintveld



Jain

Small support set size:
Most x_i variables zero

1000 flights, 20 air marshals:

10^{41} combinations

		Attack 1	Attack 2	Attack ...	Attack 1000
$x_{123} = 0.0$	1, 2, 3 ..	5, 10	4, 8	...	20, 9
$x_{124} = 0.239$	1, 2, 4 ..	5, -10	4, -8	...	-20, 9
$x_{135} = 0.0$	1, 3, 5 ..	5, 10	0, 5	...	20, 0
$x_{378} = 0.123$	...				
	...	$\leftarrow 10^{41}$ rows			

New Exact Algorithm for Scale up



Kiekintveld



Jain

Incremental strategy generation: First for Stackelberg Security Games

Primary

	Attack 1	Attack 2	...	Attack 6
1,2,4	5,-10	4,-8	...	-20,9

	Attack 1	Attack 2	...	Attack 6
1,2,4	5,-10	4,-8	...	-20,9

3,7,8	-8,10
-------	-------

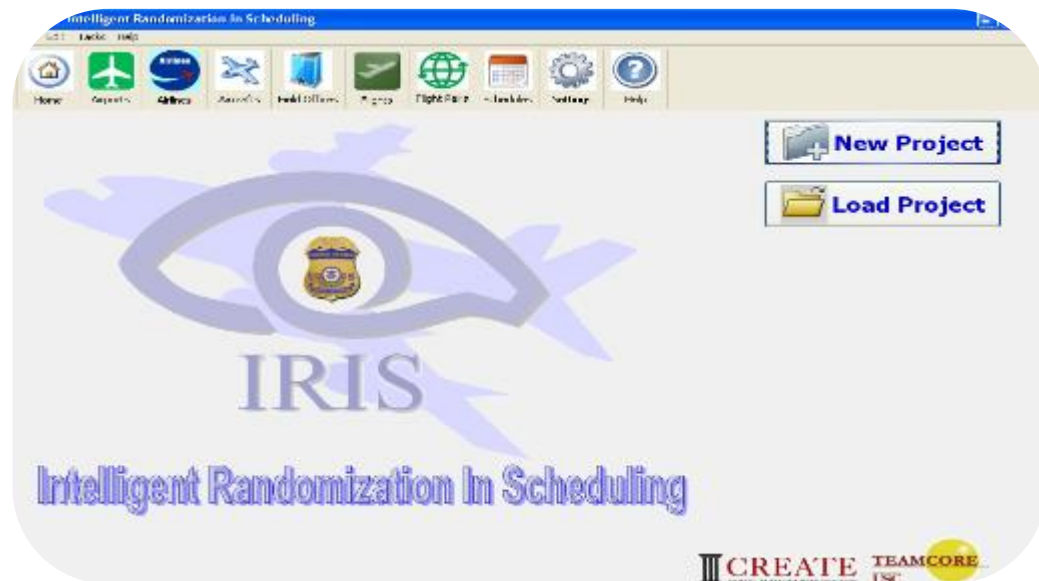
	Attack
1,2,4	5,-10
3,7,8	-8,10
...	...

Secondary (LP Duality Theory)
Best new pure strategy

GLOBAL OPTIMAL
1000 defender strategies
NOT 10^{41}

ality Theory)
re strategy

IRIS: Deployed FAMS [2009-]



Significant change in FAMS operations



September 2011: Certificate of Appreciation (Federal Air Marshals)

Questions?

Lesson 1: Immersion & Partnership



Source: GAO. | GAO-20-125

- Understanding their counter-terrorism experience





Erroll Southern

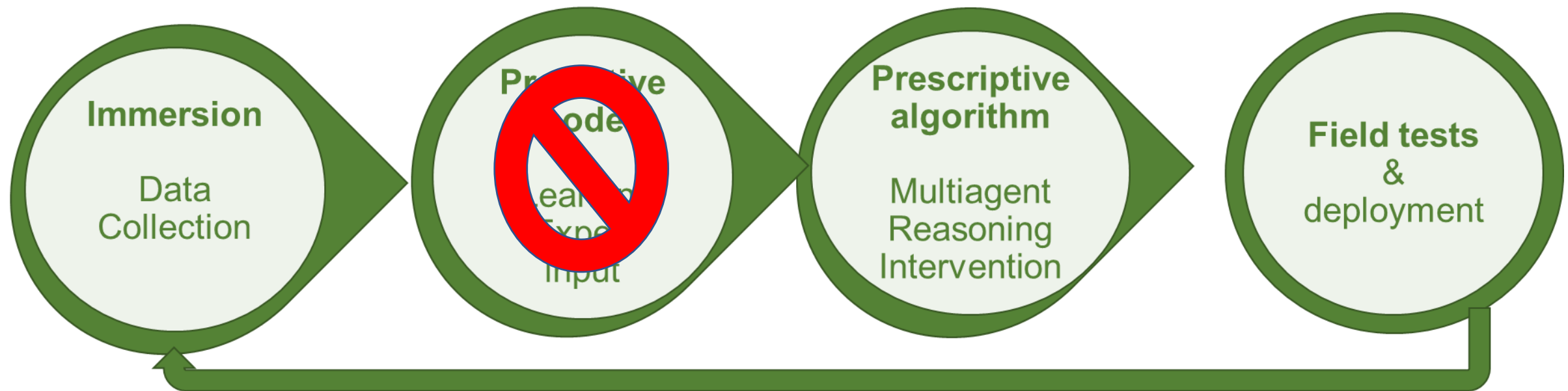


Date: 10/13/2022

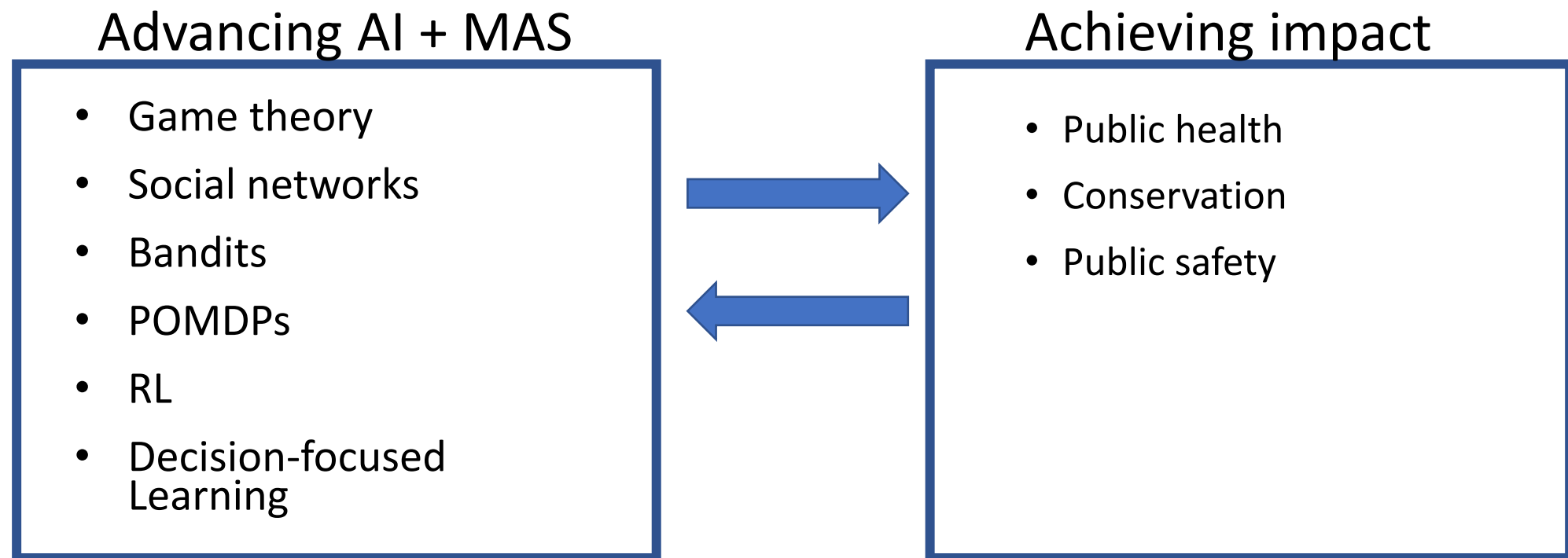


Lesson 1: Immersion & Data to Deployment Pipeline

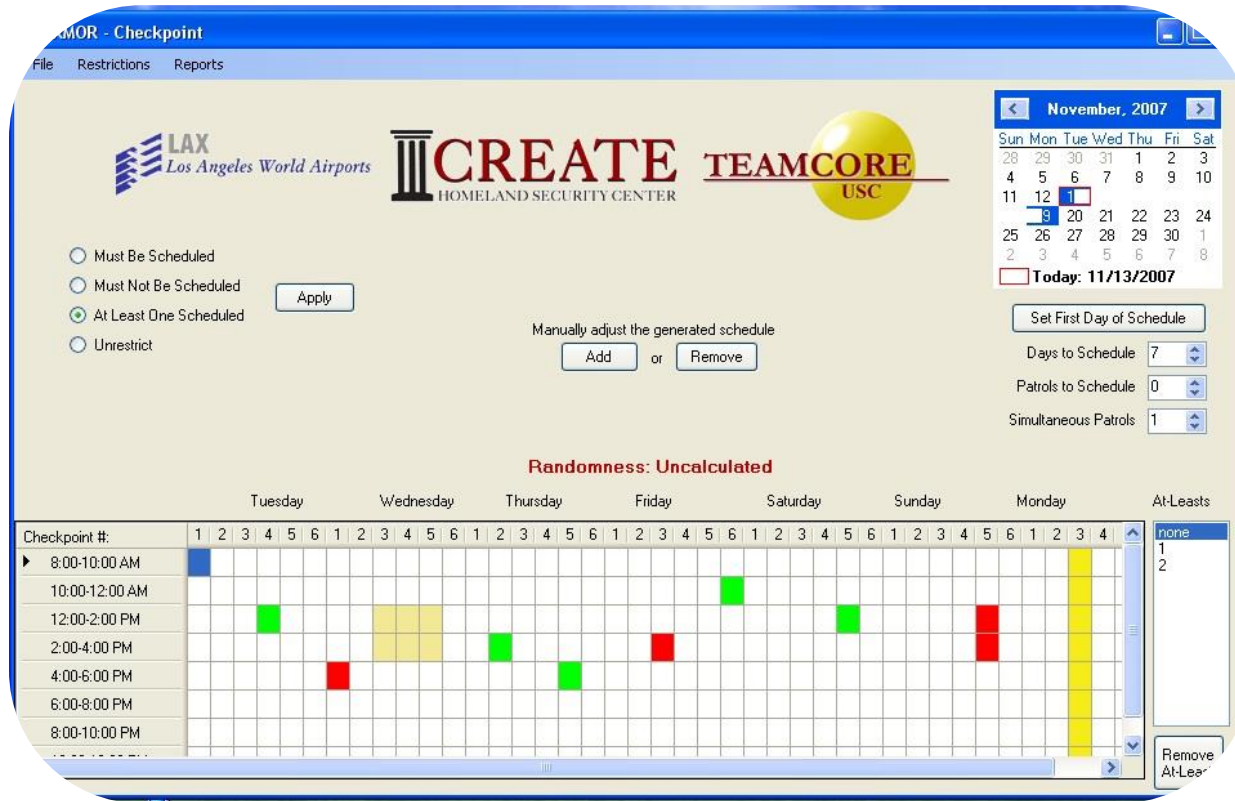
Partnership with Govt or non-Govt agency throughout



Lesson 2: AI Innovation & Social Impact Goes Hand-in-Hand



Lesson 3: Need for Human Supervision? but Simplify Interaction



ARMOR Transition



ARMOR at LAX, IRIS with FAMS: Both Needed Six Months of Evaluation

- Evaluation: complex



Cost-benefit papers

Savings

- \$30 Million in ARMOR
- \$35 Million in PROTECT
- > benefit of IRIS

Risk Analysis, Vol. 40, No. 3, 2020

DOI: 10.1111/risa.13403

Assessing the Benefits and Costs of Homeland Security Research: A Risk-Informed Methodology with Applications for the U.S. Coast Guard

Detlof von Winterfeldt,^{1,*} R. Scott Farrow,² Richard S. John,¹ Jonathan Eyer,¹ Adam Z. Rose,¹ and Heather Rosoff¹

J. Benefit Cost Anal. 2020; 1–22 © The Author(s), 2020. Published by Cambridge University Press
on behalf of the Society for Benefit-Cost Analysis
[doi:10.1017/bca.2020.24](https://doi.org/10.1017/bca.2020.24)

Scott Farrow* and Detlof von Winterfeldt

Retrospective Benefit–Cost Analysis of Security-Enhancing and Cost-Saving Technologies

Some lessons

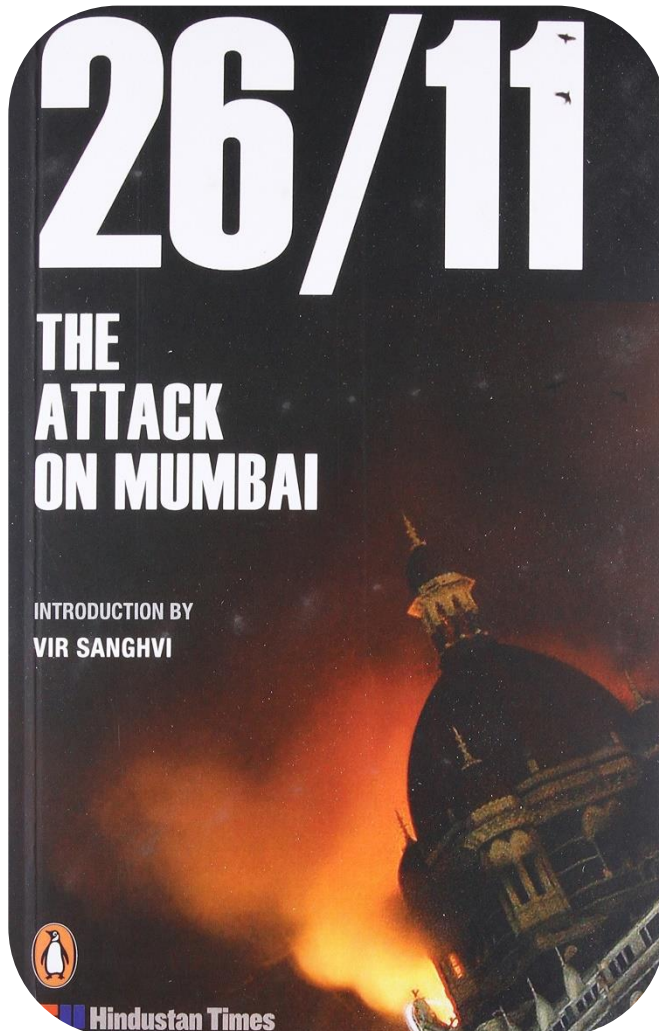
- Impact evaluation is complicated
- Must respect others with other areas of expertise: partnership and humility
- Need new publication venues for AI for social impact:
 - If its not a methodological advance AI conferences did not care,
 - *Problematic for AI for social impact because impact evaluation is difficult and AI conferences at the time didn't seem to care*
- Did not set an end date! There must be an end date

26 Nov 2008, Mumbai

Police Checkpoints: Network Security Game



Jain



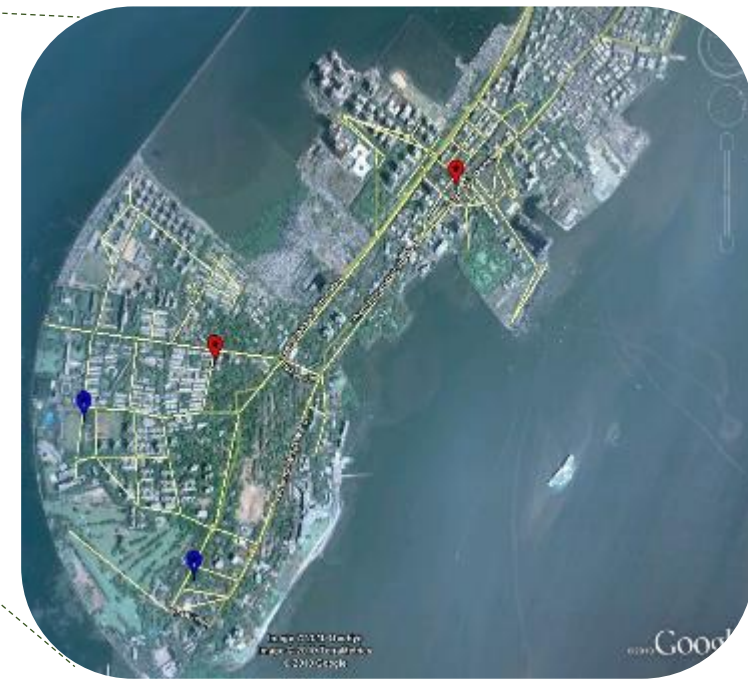
Date: 10/13/2022

Road networks:

20,000 roads, 15 checkpoints



150 edges
2 Checkpoints
150-choose-2 strategies



Zero-Sum Network Security Game [2013]



Jain

Double oracle: New exact optimal algorithm for scale-up

	Path #1	Path #2	Path #3
Checkpoint strategy #1	5, -5	-1, 1	-2, 2
Checkpoint strategy #2	-5, 5	1, -1	-2, 2



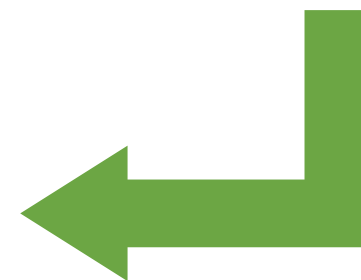
Defender oracle

	Path #1	Path #2
Checkpoint strategy #1	5, -5	-1, 1
Checkpoint strategy #2	-5, 5	2, -1



Attacker oracle

	Path #1	Path #2	Path #3
Checkpoint strategy #1	5, -5	-1, 1	-2, 2
Checkpoint strategy #2	-5, 5	1, -1	-2, 2



Presentation at the Indian National Police Academy: Network Security Game [2016]

Road networks:

20,000 roads, 15 checkpoint:
Solved under 20 min



Some lessons

- No “immersion” meant no ability to build up trust

US Coast Guard: PORT PROTECTION PATROLS DEPLOYED [2011-]

USS Cole after attack



French oil tanker hit by small boat



PROTECT: Port and Ferry Protection Patrols [2011]



Shieh

An

Boston



Los Angeles



New York



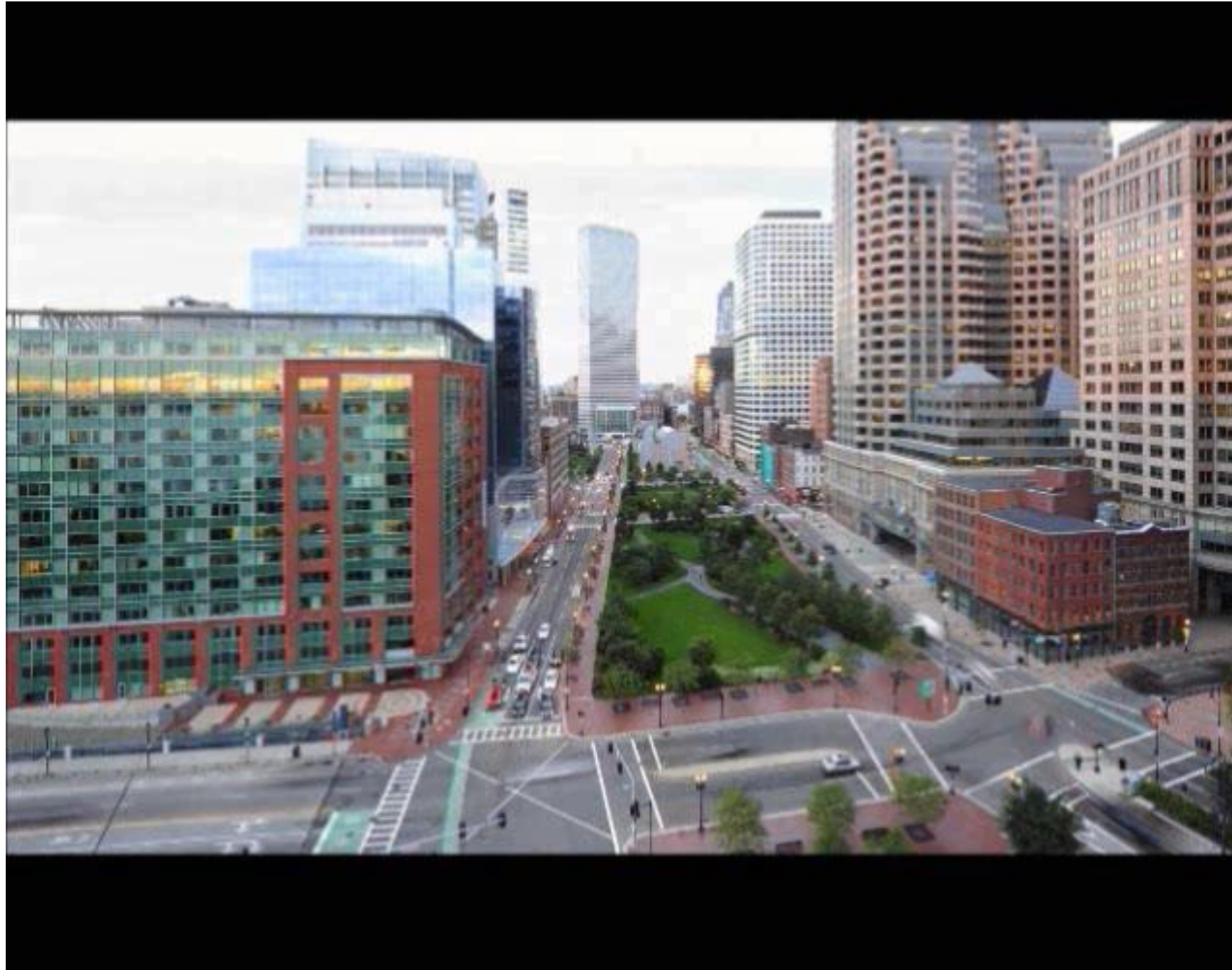
PROTECT: Port and Ferry Protection Patrols [2011]



Shieh



An



PROTECT: Ferry Protection Deployed [2013]



Fang



Jiang



PROTECT: Ferry Protection Deployed [2013]



Fang



Jiang



PROTECT: Ferry Protection Deployed [2013]



FERRIES: Mobile Resources & Moving Targets

Transition Graph Representation

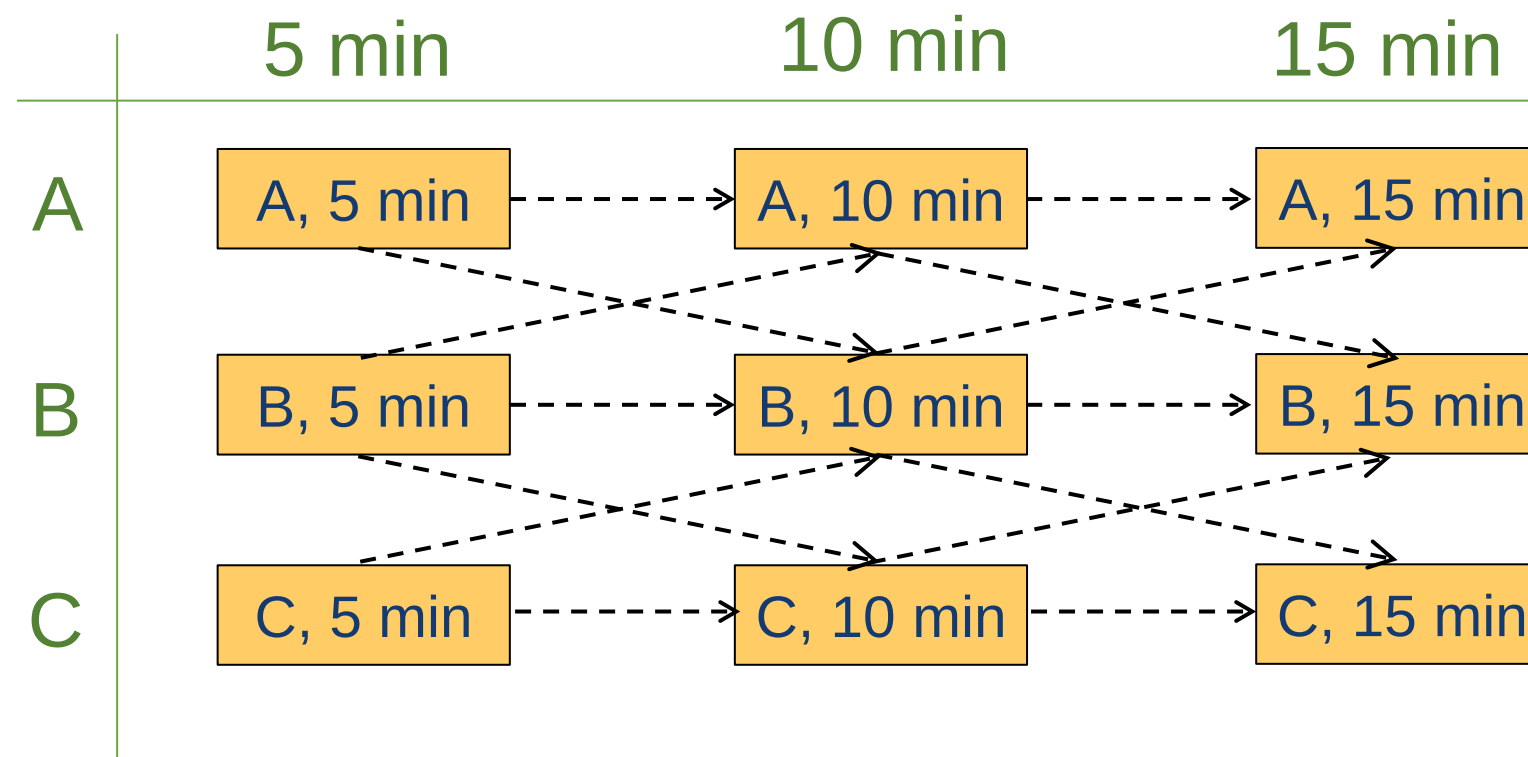


Fang



Jiang

Marginal strategy: New scale-up approach for Stackelberg Security Games



FERRIES: Mobile Resources & Moving Targets

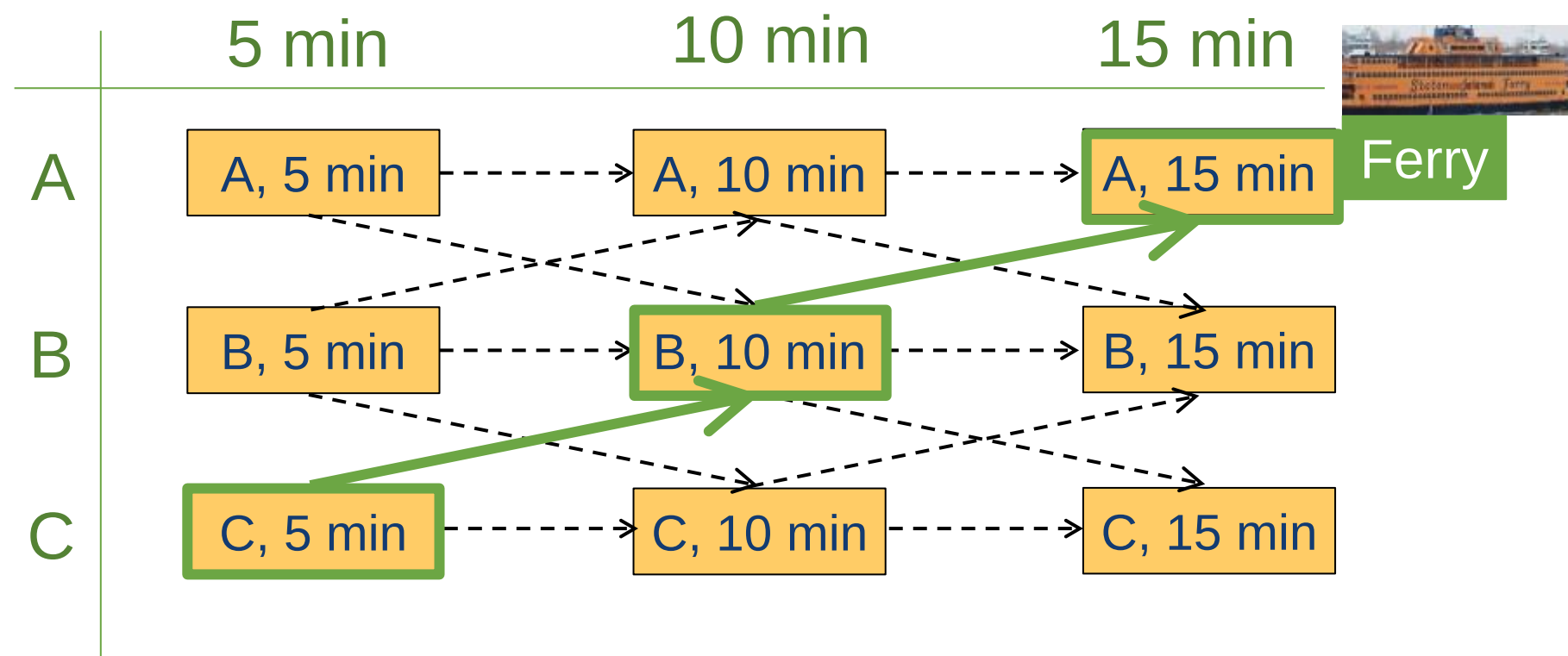
Transition Graph Representation



Fang



Jiang



FERRIES: Mobile Resources & Moving Targets Transition Graph Representation

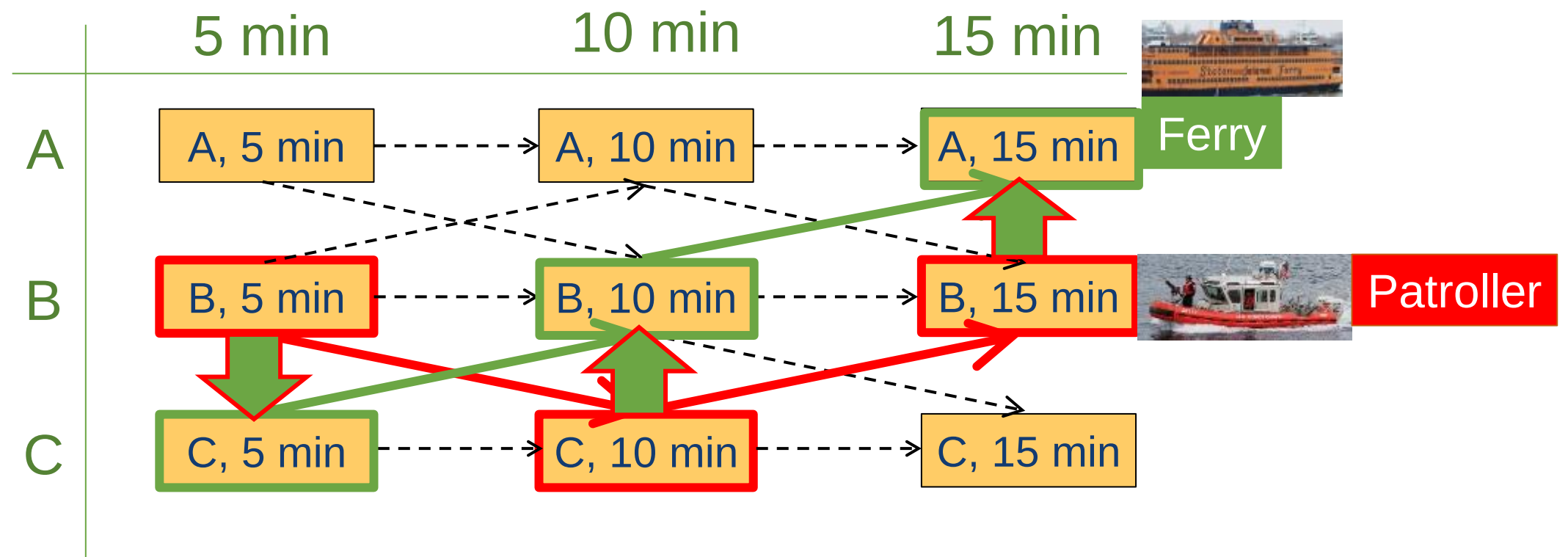


Fang



Jiang

Patrol protects nearby ferry locations



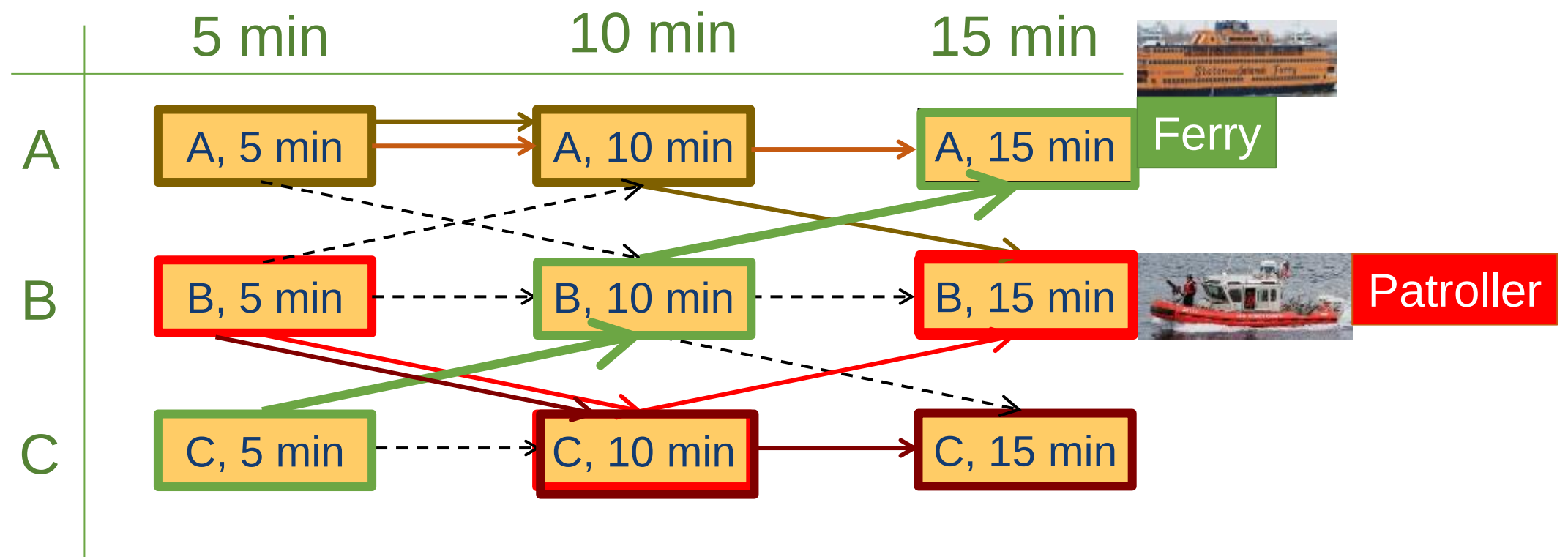
FERRIES: Mobile Resources & Moving Targets Transition Graph Representation



Fang



Jiang



FERRIES: Mobile Resources & Moving Targets Transition Graph Representation

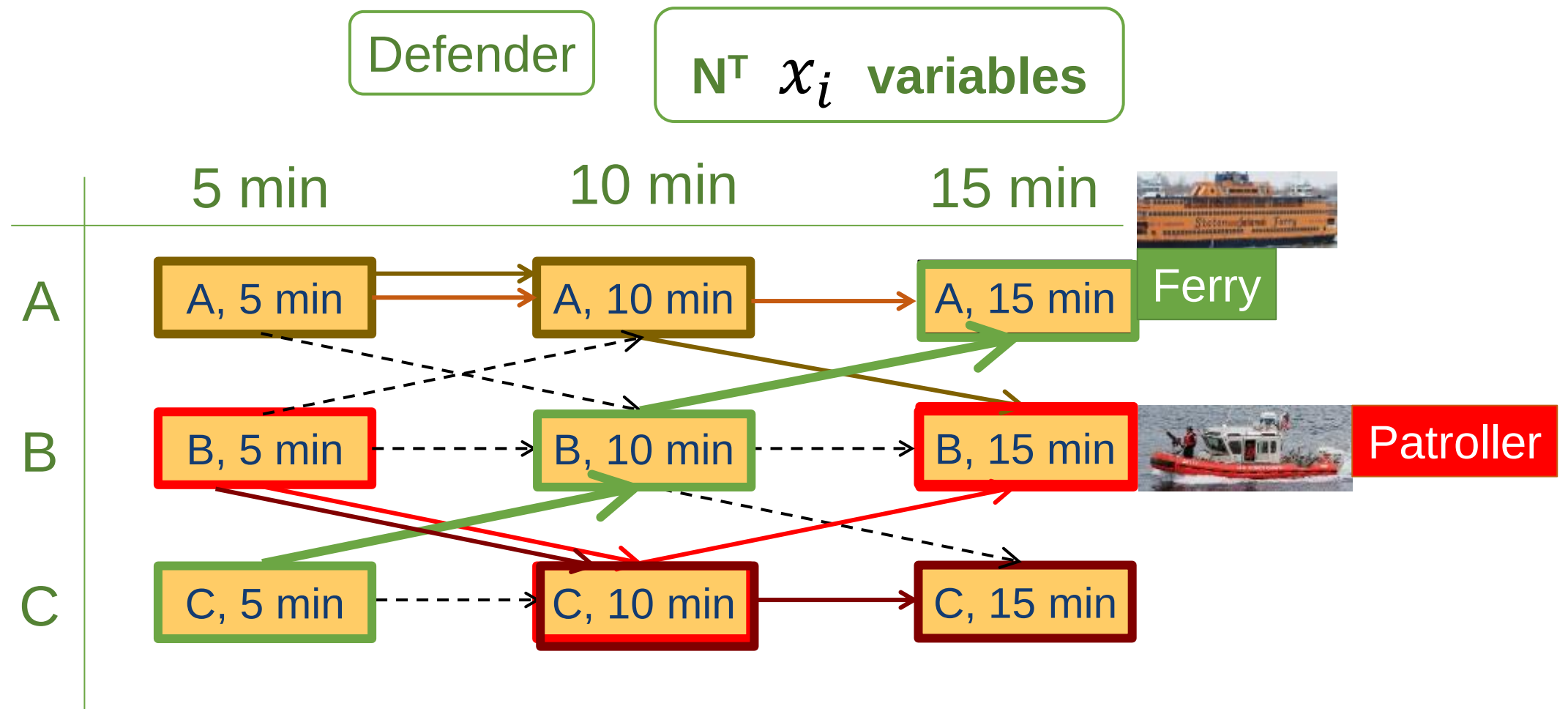


Fang



Jiang

ARMOR style LP: Determine probability for each route



FERRIES: Scale-Up Transition Graph Representation

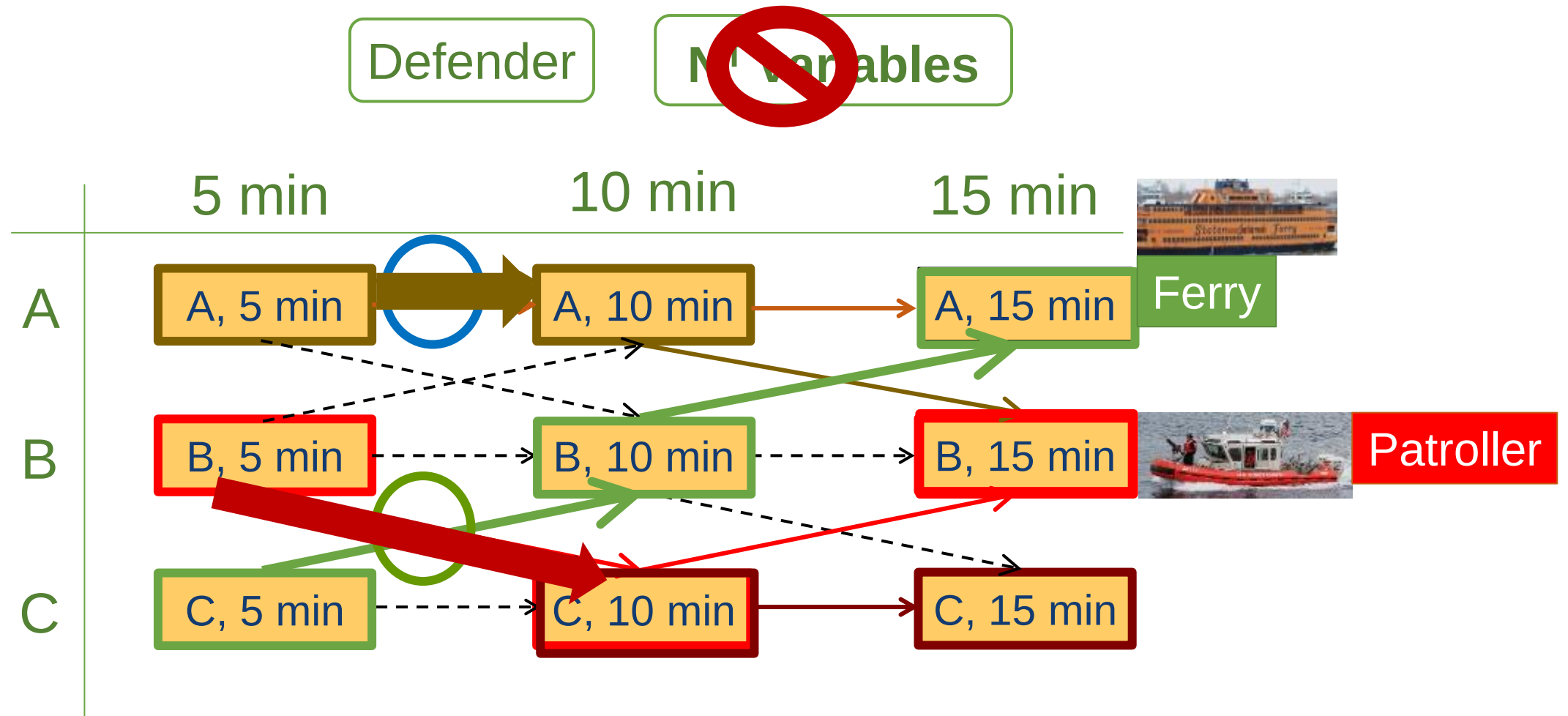


Fang



Jiang

Variables: NOT routes, but marginal probability over each segment



FERRIES: Scale-Up Transition Graph Representation

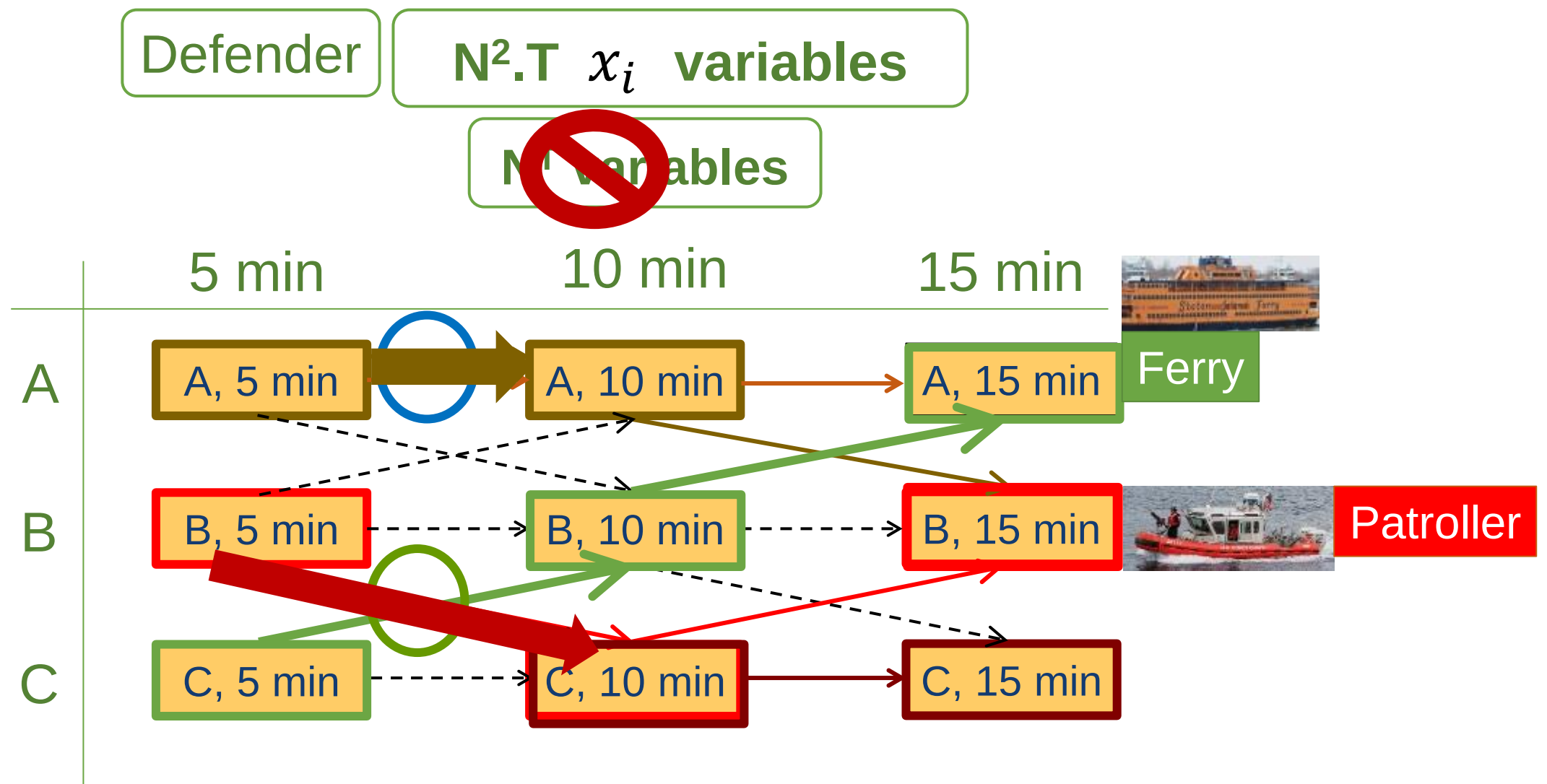


Fang



Jiang

Theorem: Marginal representation does not lose any solution quality



PROTECT: FERRY PROTECTION DEPLOYED [2013-]

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99

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0

COMMENTS

6

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About this iReport

- Not vetted for CNN



Posted September 8, 2013 by

U.S. Coast Guard protects the Staten Island Ferry: I feel safe!

By [shortysmom](#) | Posted September 8, 2013 | Staten Island, New York

[Share on Facebook](#)

0

PROTECT: Port Protection Patrols [2013]

Congressional Subcommittee Hearing



**June 2013: Meritorious Team Commendation
from Commandant (US Coast Guard)**



**July 2011: Operational Excellence
Award (US Coast Guard, Boston)**



**US Coast Guard testimony
Congressional subcommittee**

Some lessons

- PROTECT: 2011-2017

Train Patrols

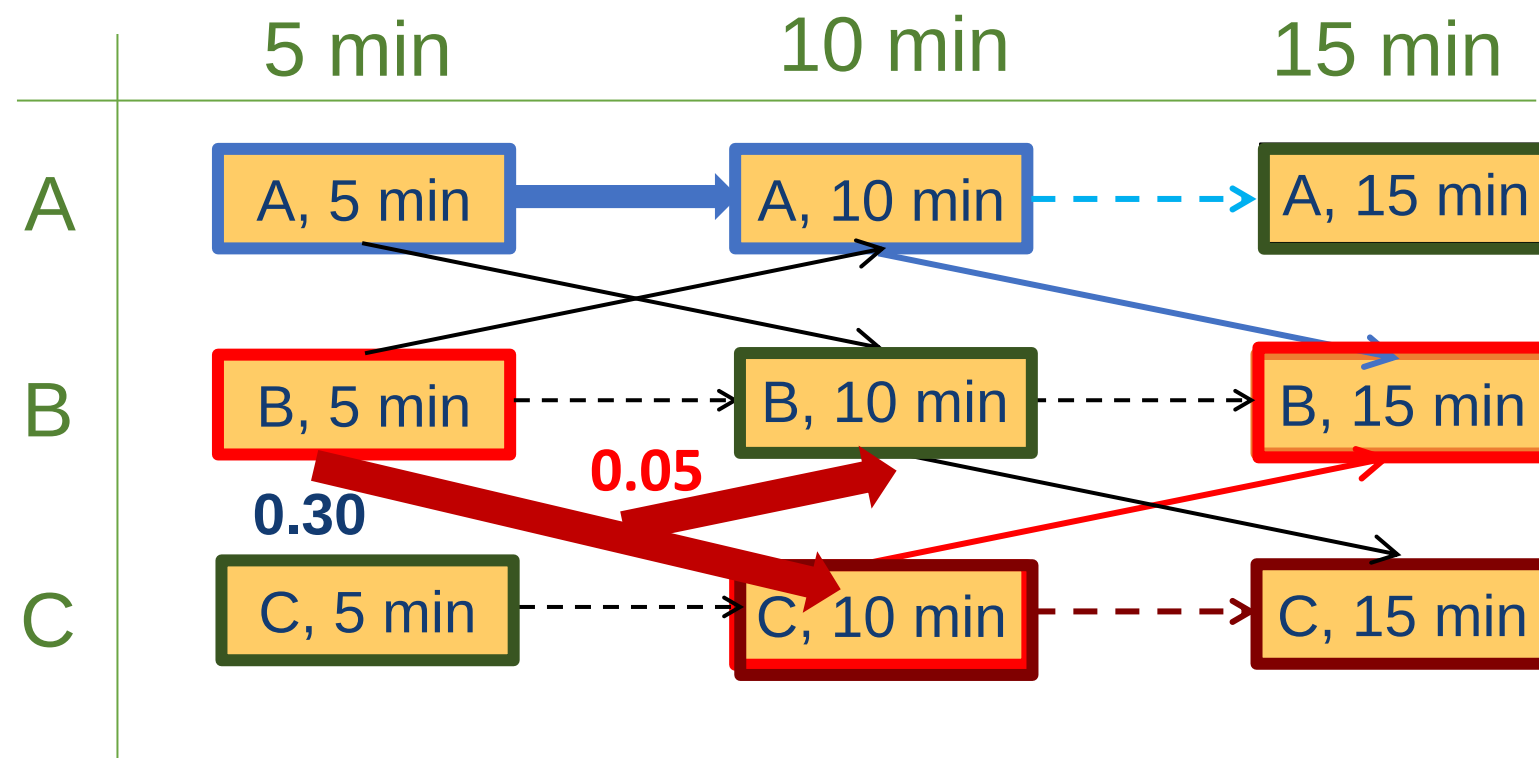
Execution Uncertainty: MDPs



Jiang



Delle Fave



Questions

Evaluation

- “BUT DOES THIS WORK”?

Evaluating Deployed Security Systems Not Easy

How Well Optimized Use of Limited Security Resources?

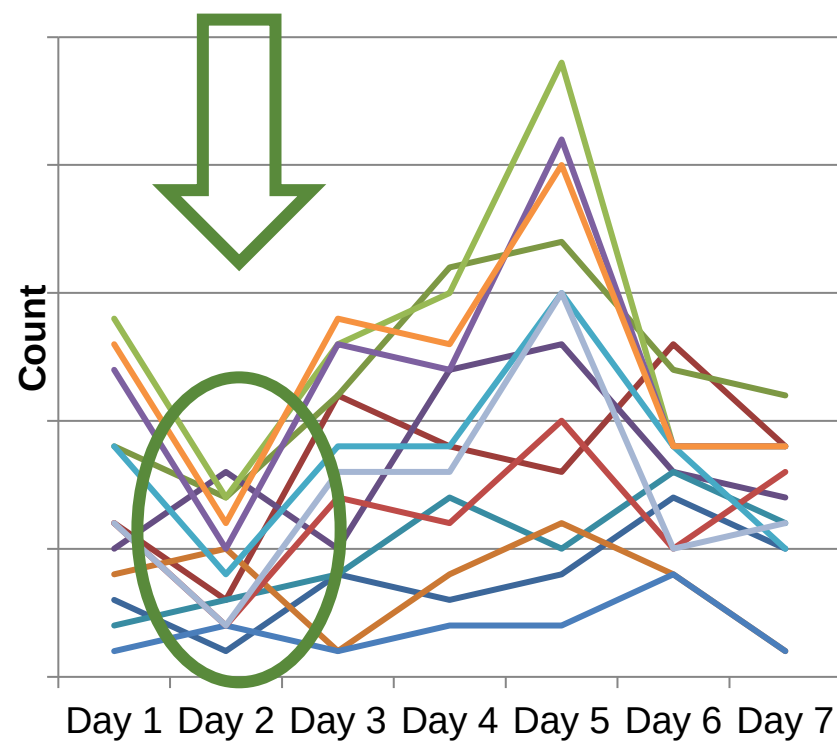
Security Games superior
VS
Human Schedulers/"simple random"

- ❖ Lab evaluation
 - ❖ *Scheduling competitions: Patrol quality unpredictability? Coverage?*
 - ❖ Field evaluation: Tests against real adversaries
 - ❖ *Economic cost-benefit analysis*
 - ❖ ...
-

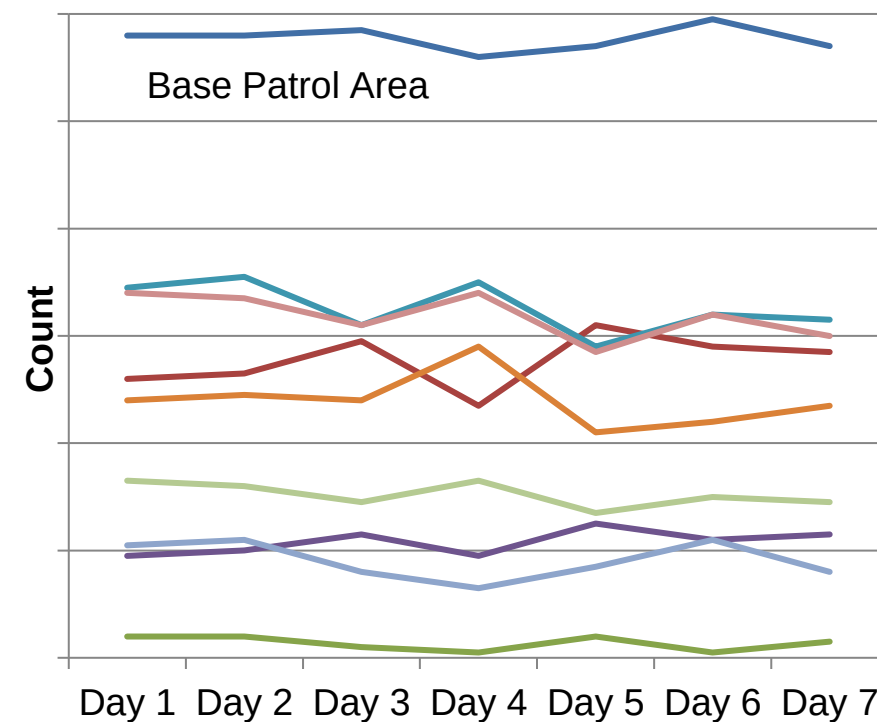
Field Evaluation of Schedule Quality

Improved Patrol Unpredictability & Coverage for Less Effort

Patrols Before PROTECT: Boston



Patrols After PROTECT: Boston



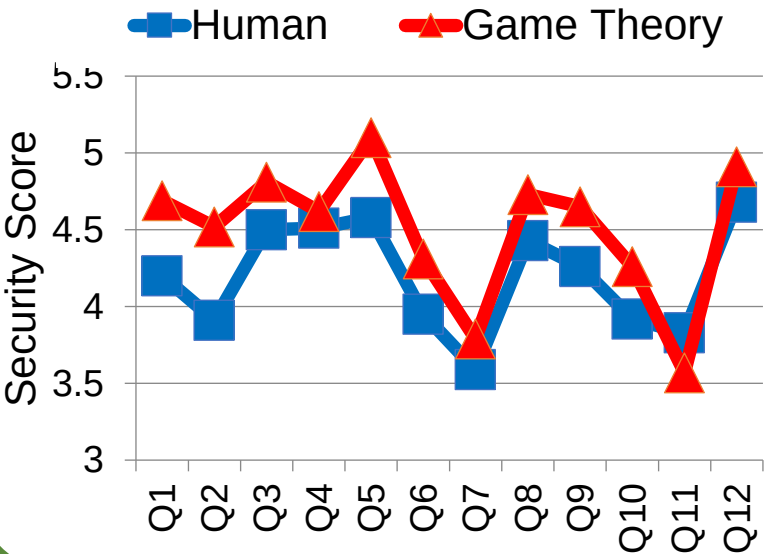
350% increase in defender expected utility

Field Evaluation of Schedule Quality

Improved Patrol Unpredictability & Coverage for Less Effort

FAMS: IRIS Outperformed expert human over six months

Report:GAO-09-903T



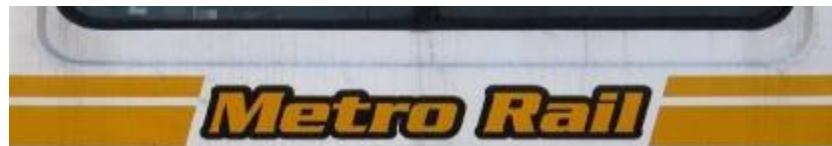
Trains: TRUSTS outperformed expert humans schedule 90 officers on LA trains



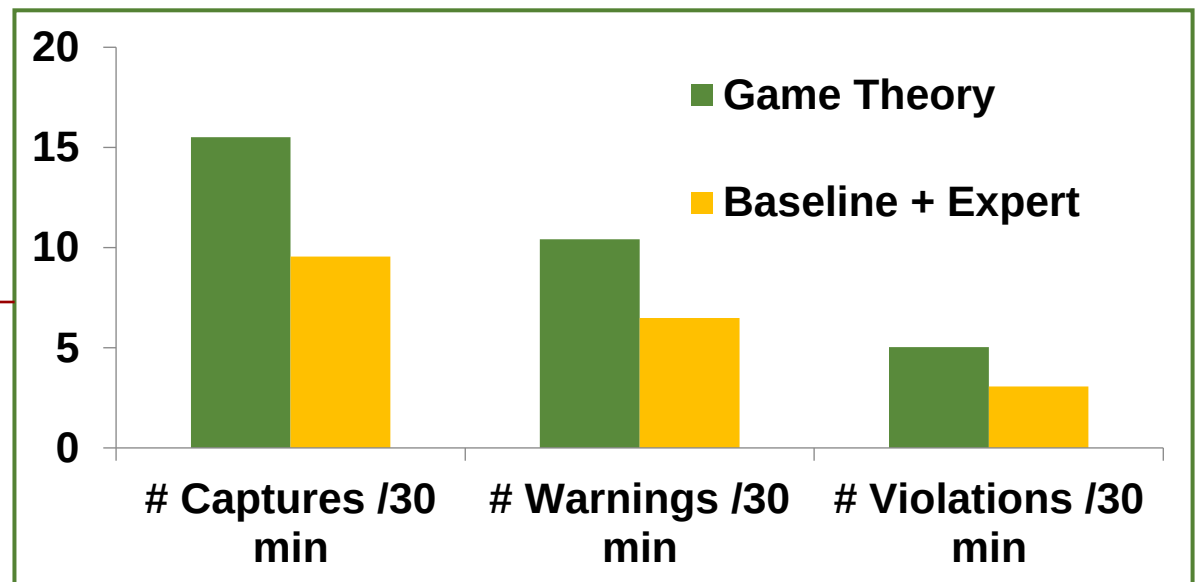
Field Tests Against Adversaries

Computational Game Theory in the Field

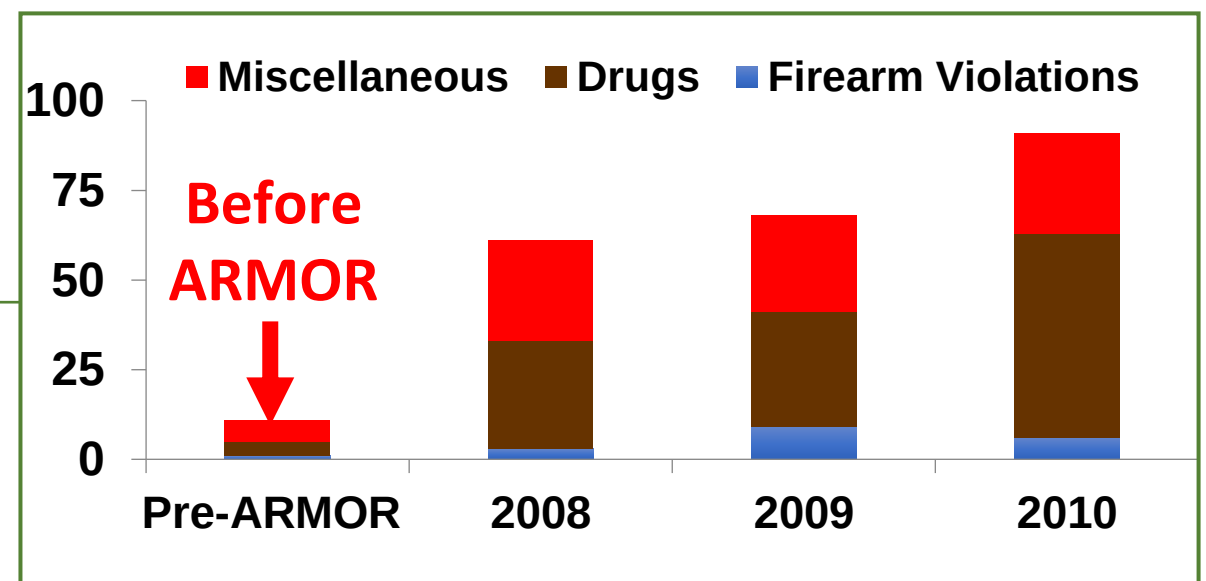
Controlled



- 21 days of patrol, identical conditions
- Game theory vs Baseline+Expert



Not Controlled





New applications: cybersecurity, protecting of endangered wildlife and fisheries, protecting forests, audit games, drug design against viruses, traffic enforcement, software code testing, adversarial machine learning

Outline

Public Safety and Security:
Stackelberg Security Games



Conservation/Wildlife Protection:
Green Security Games

World Bank Global Tiger Initiative

How I got into AI for Wildlife Conservation





Visiting Uganda & Meeting Andy Plumptre

Date: 10/13/2022



Poaching of Wildlife in Uganda

Limited Intervention (Ranger) Resources to Protect Forests

Snare or Trap



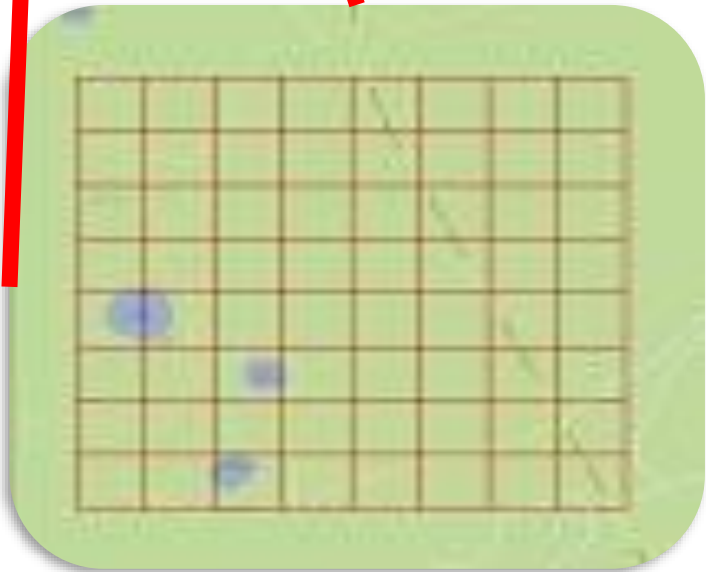
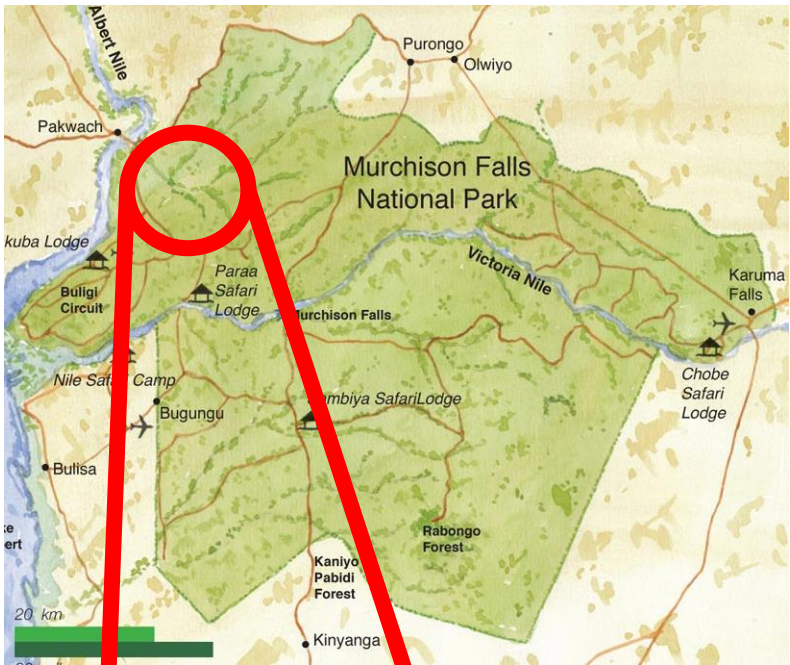
Wire snares



Stackelberg Security Games?



Fang



➤ Stackelberg security games (SSG)

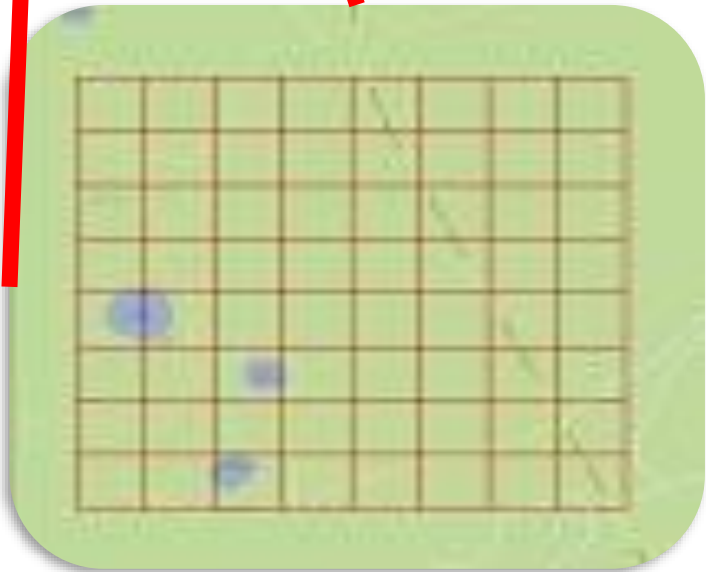
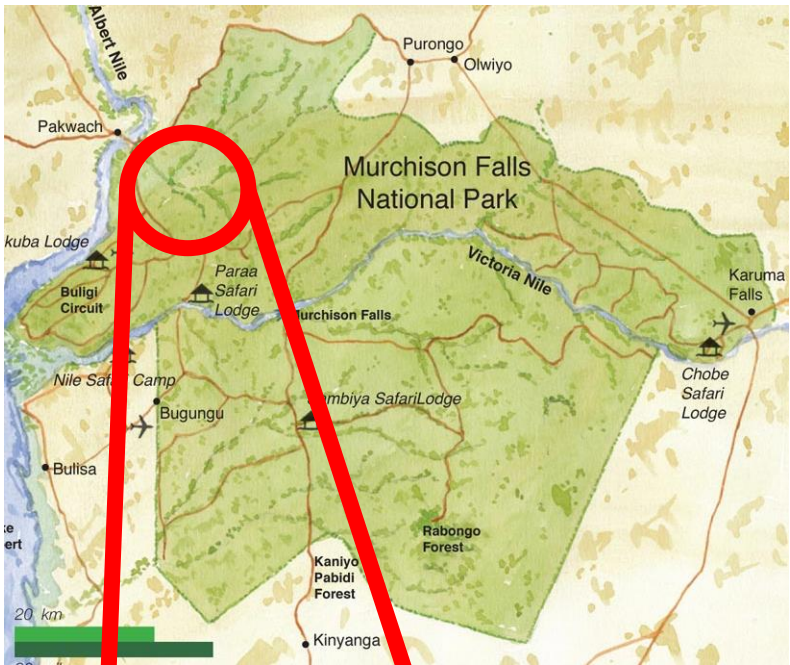


	Area1	Area2
Area1	4, -3	-1, 1
Area2	-5, 5	2, -1

Green Security Games Combine Stackelberg Security Games and Machine Learning



Fang



- *Not fully strategic adversaries*
- *Boundedly rational poachers, past poaching data*
- *Learn adversary response model at targets “i”*



	Area1	Area2
Area1	4, -3	-1, 1
Area2	-5, 5	2, -1

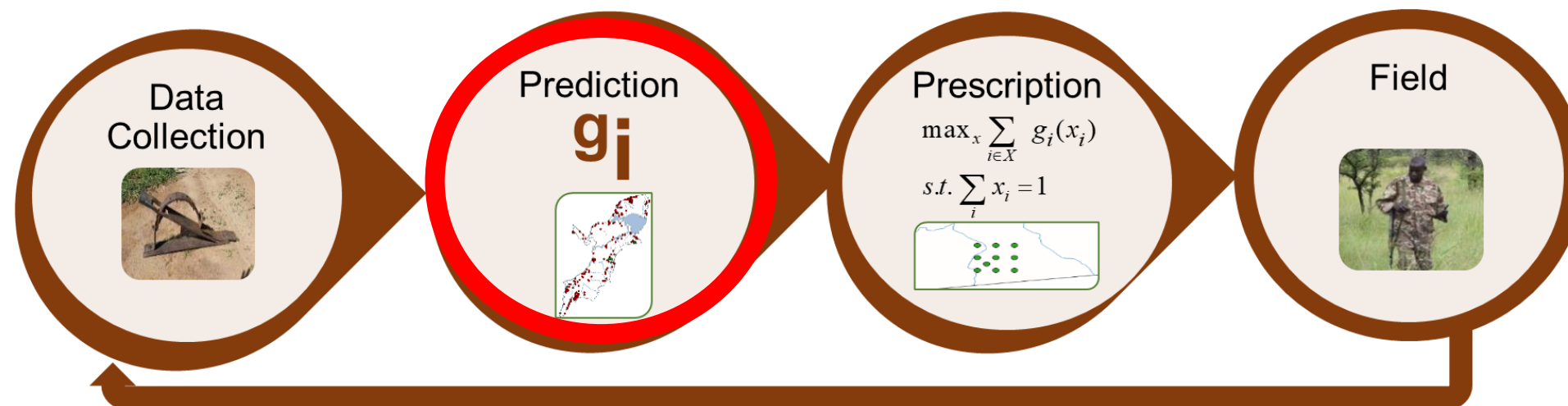
Learning Adversary Response Model: Uncertainty in Observations



Nguyen



Gholami



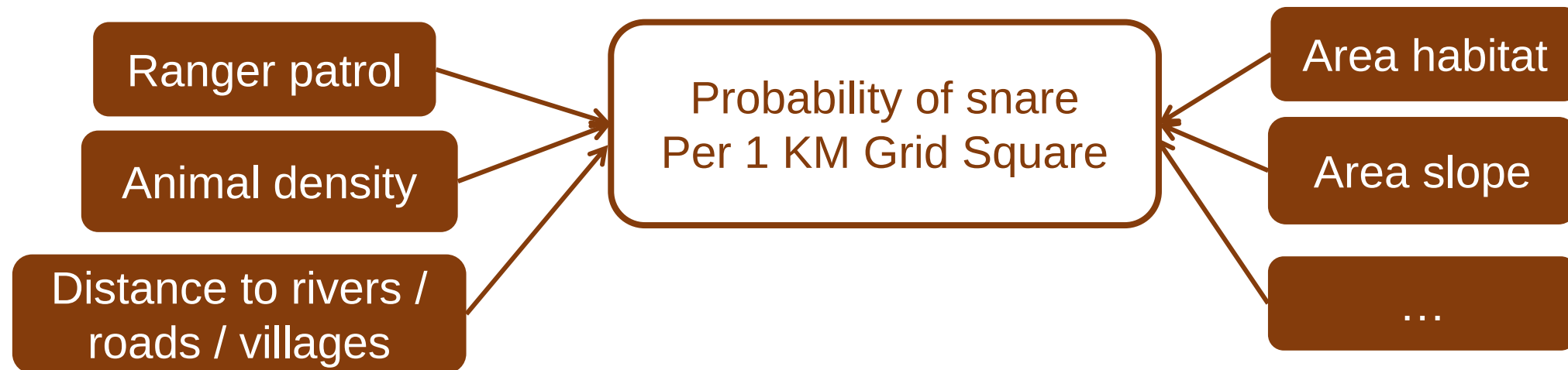
Learning Adversary Response Model: Uncertainty in Observations



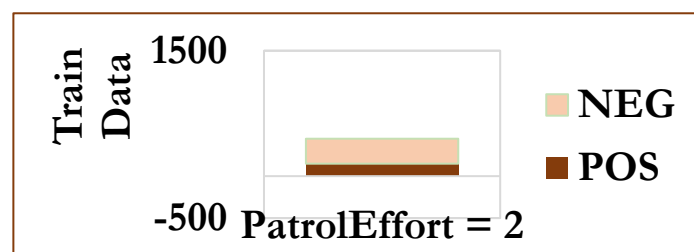
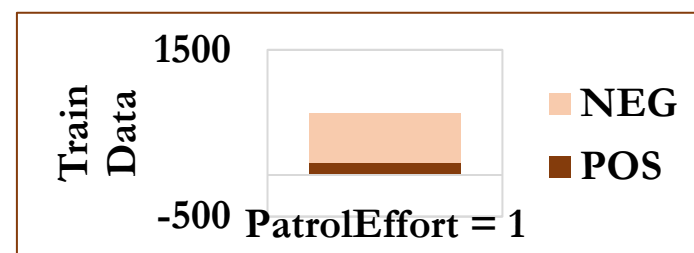
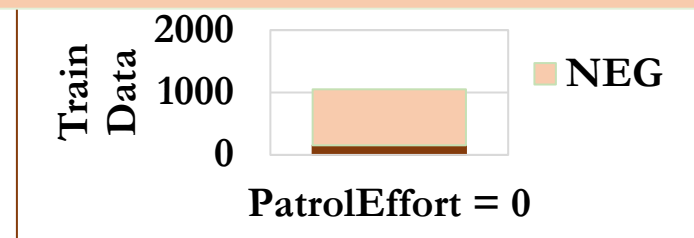
Nguyen



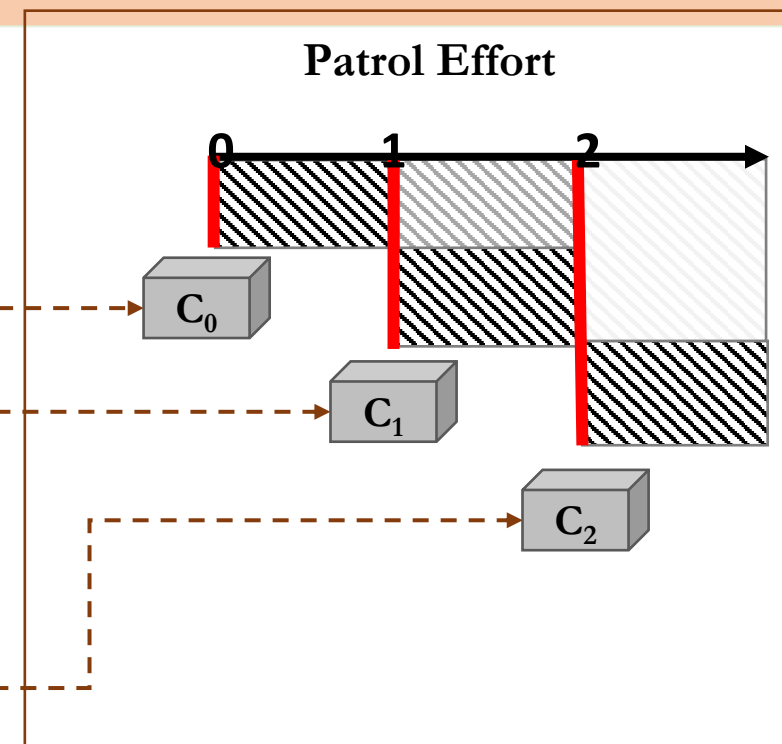
Gholami



Training: Filtered Datasets



Predict: Ensemble of Classifiers



PAWS: First Pilot in the Field

(AAMAS 2017)



Ford

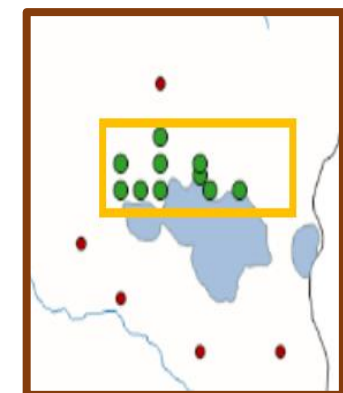
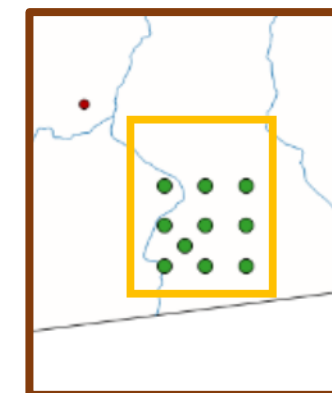
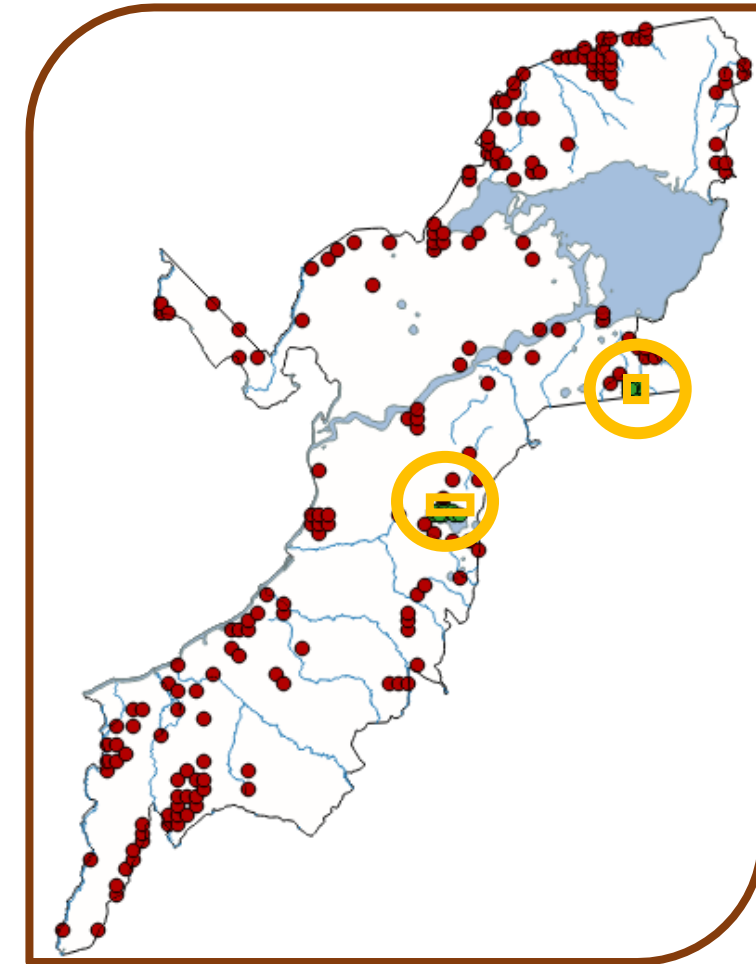


Gholami

- Two 9-sq.km areas, infrequent patrols



- Poached elephant
- 1 elephant snare roll
- 10 Antelope snares



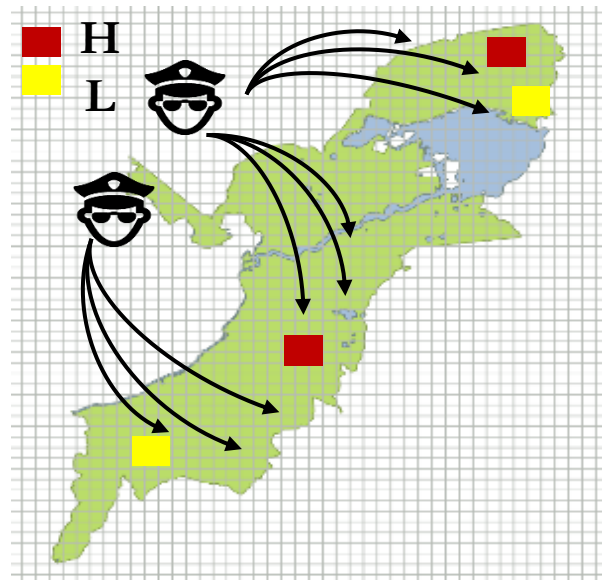
PAWS Predicted High vs Low Risk Areas: 3 National Parks, 24 areas each, 6 months (ECML PKDD 2017, ICDE 2020)



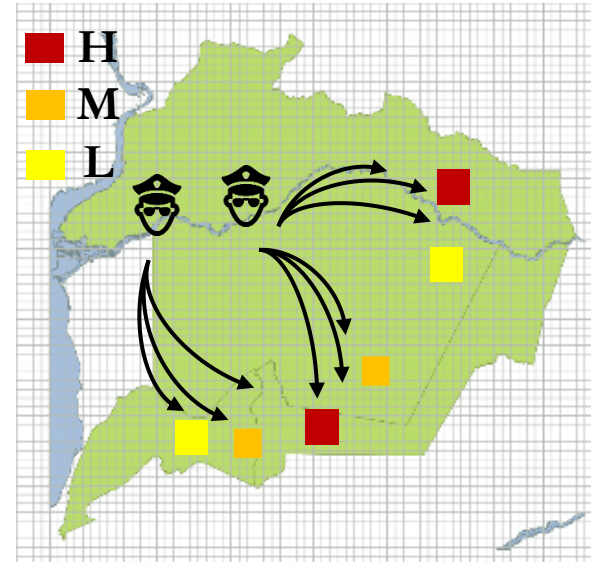
Xu



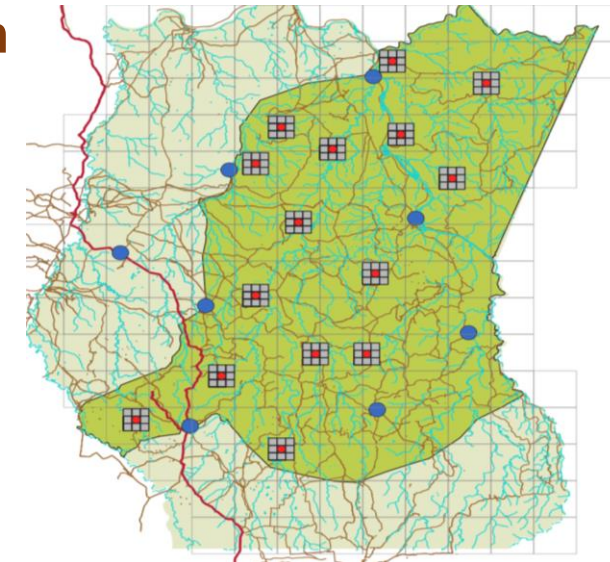
Gholami



Queen Elizabeth National Park

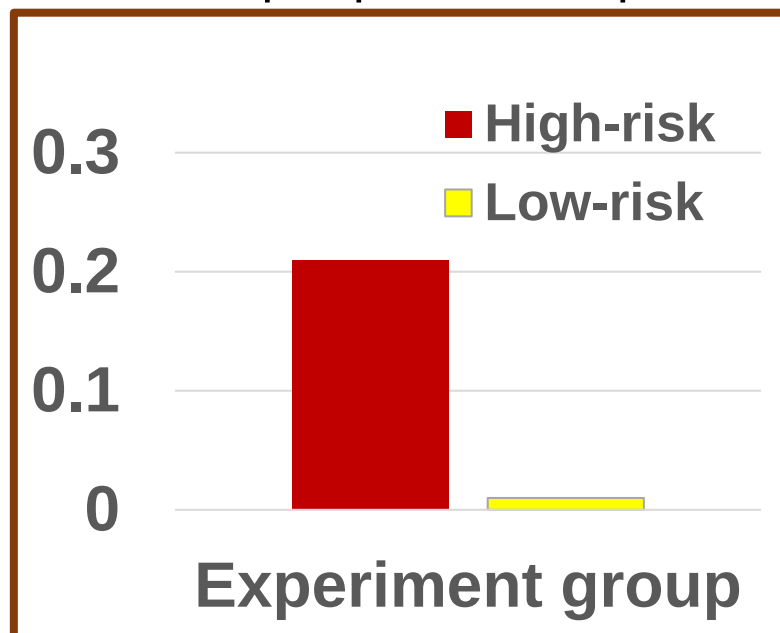


Murchison Falls National Park

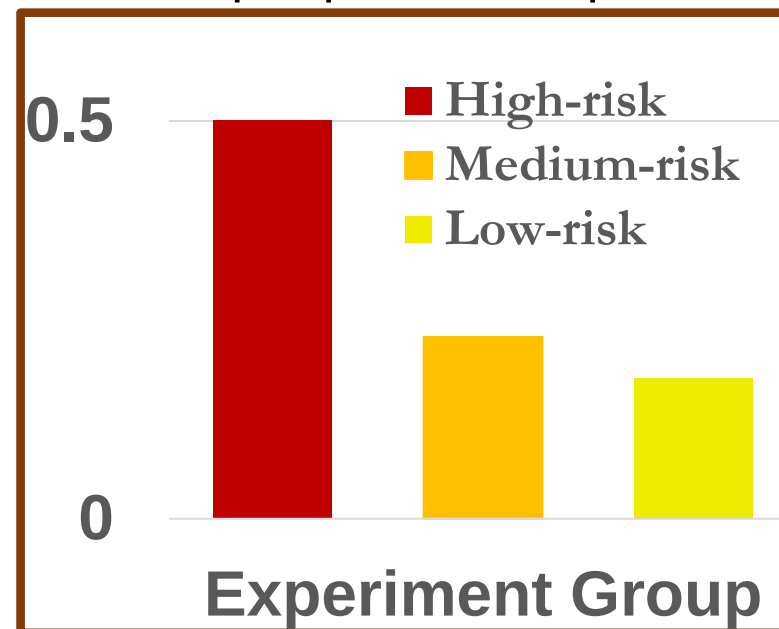


Srepok Wildlife Sanctuary

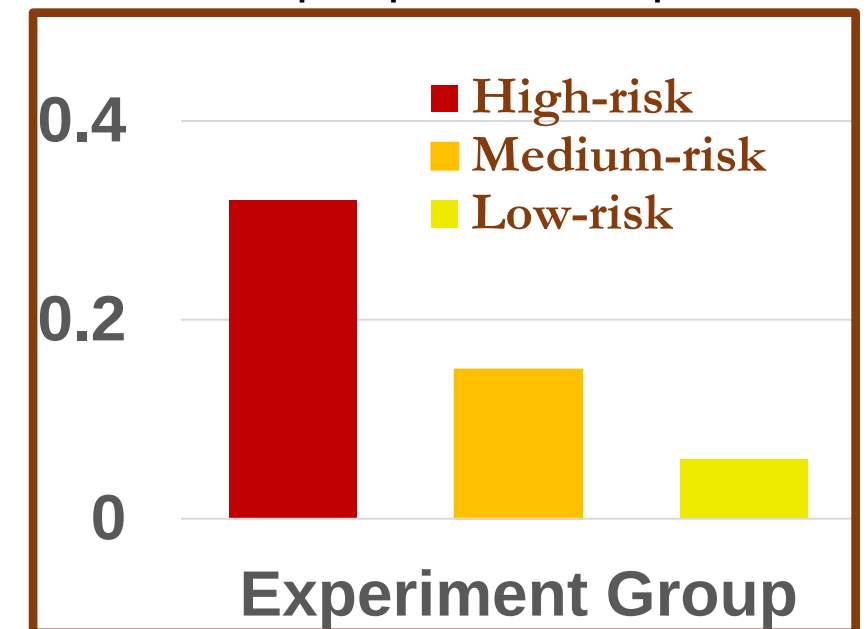
Snares per patrolled sq. KM



Snares per patrolled sq. KM



Snares per patrolled sq. KM



PAWS Real-world Deployment

Cambodia: Srepok Wildlife Sanctuary

(ICDE 2020)



Xu



2019 PAWS: *521 snares/month*

VS

2018: *101 snares/month*

2021 PAWS

1,000 snares found in March

PAWS GOES GLOBAL with SMART platform!!



**Protect Wildlife
800 National Parks
Around the Globe**

